



STATEMENT OF RESPONSE

Level 5
Ernst & Young Building
2 Takutai Square
Britomart, Auckland
PO Box 106 672
Auckland 1143

COMPLAINT NO:

To: **The Registrar**
Financial Advisers Disciplinary Committee
PO Box 106 672
Auckland 1143
Email: registrar@fadc.govt.nz

P: +64 9 300 0400
F: +64 9 300 0499

AND

To: **Financial Markets Authority**

I, [Title and full name] _____

(Financial Adviser) of [address]

dispute the following:

I submit the following documents [and witness statements] for consideration:
(list attached documents)

Signed: _____ **Date:** _____

Notes:

This Statement of Response must be completed, signed and returned to the Registrar: Financial Advisers Disciplinary Committee within 10 working days after the Financial Markets Authority (FMA) has made disclosure of all relevant material, or alternatively within the timeframe ordered by the Chairperson for delivery. A copy of the Statement is to be served on FMA within such time.